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SeekEdgar website: <https://www.seekedgar.com:8443/>

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Introduces

Seek←îNFSM

Anytime, Anywhere, ...

SEC Filings and PCAOB

Reports at your finger tips
in seconds



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SeekEdgar's proprietary software is perfect for:

- **Academic Researchers** can use it to conduct their research and teaching to study the characteristics of companies having certain issues or concerns, develop text analytics to assess risks (e.g., fraud risk, litigation risk, business risk, and financial risks).
- **Financial Analysts** can use the search engine to find financially sound companies for investment decision purposes.
- **Business executives** can use the system to learn about the competitors and develop competitive strategies.
- **Attorneys** can use the search engine to find information related to current and potential business clients to enhance their practice.
- **Regulators** can use the search engine to identify companies that may be in violation with certain rules and/or regulations.
- **Accountants** can use it to assess inherent risk, business risk, and litigation risk in the client.

Contact Dr. Raj Srivastava at rsrivastava@seekedgar.com NOW for a 7-day Free Trial Account

In Addition...

SeekEdgar's product Seek iNF allows you to search our,

- Comprehensive Database with about **10 Million** SEC Filings and **16 Million** Documents!
- Data available for the years 1994-2015 with Daily Updates

CLICK HERE to see few examples on how to search for information using Seek iNF.

Contact Dr. Raj Srivastava at rsrivastava@seekedgar.com NOW for trying our Seek iNF with all features for one year data(2002)

Professor Michael Minnis

University of Chicago

“I’ve really appreciated working with SeekEdgar.

The flexibility and speed of both the search engine and customer service has **made data collection easier and more thorough.**

Finding examples for my financial statement analysis class is also a pleasure.

I recommend trying SeekEdgar for anybody looking for data from SEC filings.”

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Some of our customers

- McMaster University
- Rutgers University – Newark
- University of Arizona
- **University of Chicago**
- University of Illinois-Chicago
- University of Illinois-Urbana/Champaign
- University of Kansas
- University of South Florida
- University of Sydney, Sydney
- University of Texas-Dallas
- **Yale University**

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Four Dimensions

1. **Search:** Specific Issues/Concerns
2. **Obtain:** Data not available
3. **Perform:** Textual Analyses
4. **Download:** All Searched Data

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- Good news to share: one of my colleagues, Tom Kubick, had his paper “*The Effects of Regulatory Scrutiny on Tax Avoidance: An Examination of SEC Comment Letters*”, co-authored with Dan Lynch, Mike Mayberry, and Tom Omer, accepted in **The Accounting Review** (forthcoming), using 100% data from **SeekEdgar**.

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1. Search

SEC Filings and PCAOB Reports
in Seconds

For issues and concerns

(e.g., litigation, patent infringement, wrongful termination, we may never be profitable, etc.)

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2. Obtain Information/Data

not available from any source

Examples: Executives bios, Business address, fiscal year end, Chief Executive officer and Chairman of the Board of Directors, Executive compensation,, unique balance sheet items, who signed 10Ks and 10Qs, etc.

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1
0

3. Perform

textual analyses

Word counter, Sentence counter,

Proximity Counter with order,

Word distribution,

and six readability indices

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1
1

4. Download

All the searched data
in HTML and Excel Files

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Power Features

1. Boolean Logic with multiple phrases

(words like 'a', 'in', 'if', 'no', 'of', etc.)

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Power Features

2. Proximity Search

two words within any number of words

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Power Features

3. Display

Few words before
&

Few words after a Phrase/word

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Power Features

4. Counters

Multiple phrases, words, and sentences

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Power Features

5. Proximity Counter with Order

Two words within few words with order

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Power Features

6. Built in Readability Metrics

(Six readability indices)

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Database Consists of

14 Million

SEC Filings & PCAOB Reports

(1994-2016, daily updated)

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20 Million Documents

Search Separately

- Annual Report, Quarterly Report,
- Various Exhibits & Items,
- Disclosures Reports,
- Press Releases & Conference Calls,
- PCAOB Reports, etc.

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Cloud Technology

Use your iPad or iPhone
to query the system

Get results in seconds

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No software

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No programming

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Don't waste your time
Learning programming!

Use that time to be
creative!

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Search
by

Paragraph

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Search
by
Table

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Search

by

Footnote

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Search

by

MD&A

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Search

by

Audit Report

Anytime, Anywhere, ..

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Search

by

SOX 404 Reports

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Unique Data Sets

1. Subsidiaries database

(Who owns who)

by year, by country, along with
their addresses

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Unique Data Sets

2. Conference calls transcripts
who said what?

(22 years with daily updates)

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Unique Data Sets

3. Specifics from 10K

- Business Address & Tax ID
 - Fiscal Year End
- Word & Sentence counts,
 - Readability Indices, etc.

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Unique Data Sets

4. Many more in Progress

(Keep in Touch)

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	A	B	C	D	E	F	G	H	I
1	CIK	COMPANY NAME	SIC	FILING DATE					
2									
3	109177	HRG GROUP, INC.	3690	7/27/16			For Fiscal		For Fiscal
4	109177	HRG GROUP, INC.	3690	7/27/16			2015		2014
5	109177	HRG GROUP, INC.	3690	7/27/16	Audit Fees	2,784,100	\$		2,718,000
6	109177	HRG GROUP, INC.	3690	7/27/16	Audit-Related Fees	0			18,000
7	109177	HRG GROUP, INC.	3690	7/27/16	Tax Fees	0			85,000
8	109177	HRG GROUP, INC.	3690	7/27/16	All Other Fees	22,000			0
9	109177	HRG GROUP, INC.	3690	7/27/16	Total Fees	2,806,100	\$		2,821,000
10	1094739	FINISAR CORP	3674	7/27/16			Year Ended May 1, 2016		Year Ended May 3, 2015
11	1094739	FINISAR CORP	3674	7/27/16	Audit fees(1)	1,902,153	\$		2,518,869
12	1094739	FINISAR CORP	3674	7/27/16	Audit-related fees(2)	21,600			5,790
13	1094739	FINISAR CORP	3674	7/27/16	Tax fees(3)	144,860			451,594
14	1094739	FINISAR CORP	3674	7/27/16	Total Fees	2,068,613	\$		2,976,253
15	1094739	FINISAR CORP	3674	7/27/16	-1)				
16	1094739	FINISAR CORP	3674	7/27/16	-2)				
17	1308711	Behringer Harvard Opportunity REIT	6798	7/27/16			2015		2014
18	1308711	Behringer Harvard Opportunity REIT	6798	7/27/16	Audit Fees(1)	557	\$		550
19	1308711	Behringer Harvard Opportunity REIT	6798	7/27/16	Audit-Related Fees(2)	7			0
20	1308711	Behringer Harvard Opportunity REIT	6798	7/27/16	Tax Fees(3)	6			80
21	1308711	Behringer Harvard Opportunity REIT	6798	7/27/16	All Other Fees	0			0
22	1308711	Behringer Harvard Opportunity REIT	6798	7/27/16	Total Fees	570	\$		630
23	1308711	Behringer Harvard Opportunity REIT	6798	7/27/16	-1)				
24	1382219	6D Global Technologies, Inc	8742	7/27/16	BDO USA, LLP(1)			2015	2014
25	1382219	6D Global Technologies, Inc	8742	7/27/16	Audit Fees(2)	322,467	\$		127,150
26	1382219	6D Global Technologies, Inc	8742	7/27/16	Audit-Related Fees(3)	0			0
27	1382219	6D Global Technologies, Inc	8742	7/27/16	Tax Fees(4)	0			0
28	1382219	6D Global Technologies, Inc	8742	7/27/16	All Other Fees(5)	0			0
29	1382219	6D Global Technologies, Inc	8742	7/27/16	Total	322,467	\$		127,150
30	1382219	6D Global Technologies, Inc	8742	7/27/16	SingerLewak LLP(1)			2015	2014
31	1382219	6D Global Technologies, Inc	8742	7/27/16	Audit Fees(2)	268,340	\$		104,500
32	1382219	6D Global Technologies, Inc	8742	7/27/16	Audit-Related Fees(3)	0			0
33	1382219	6D Global Technologies, Inc	8742	7/27/16	Tax Fees(4)	0			0
34	1382219	6D Global Technologies, Inc	8742	7/27/16	All Other Fees(5)	0			0

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This is better

than

anything

You have ever seen!

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It is truly

Incredible!

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Knowledge, Imagination,
and Creativity

will drive the future research,

not the canned data

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Please send me a note at
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ID and Password if you don't
have one already

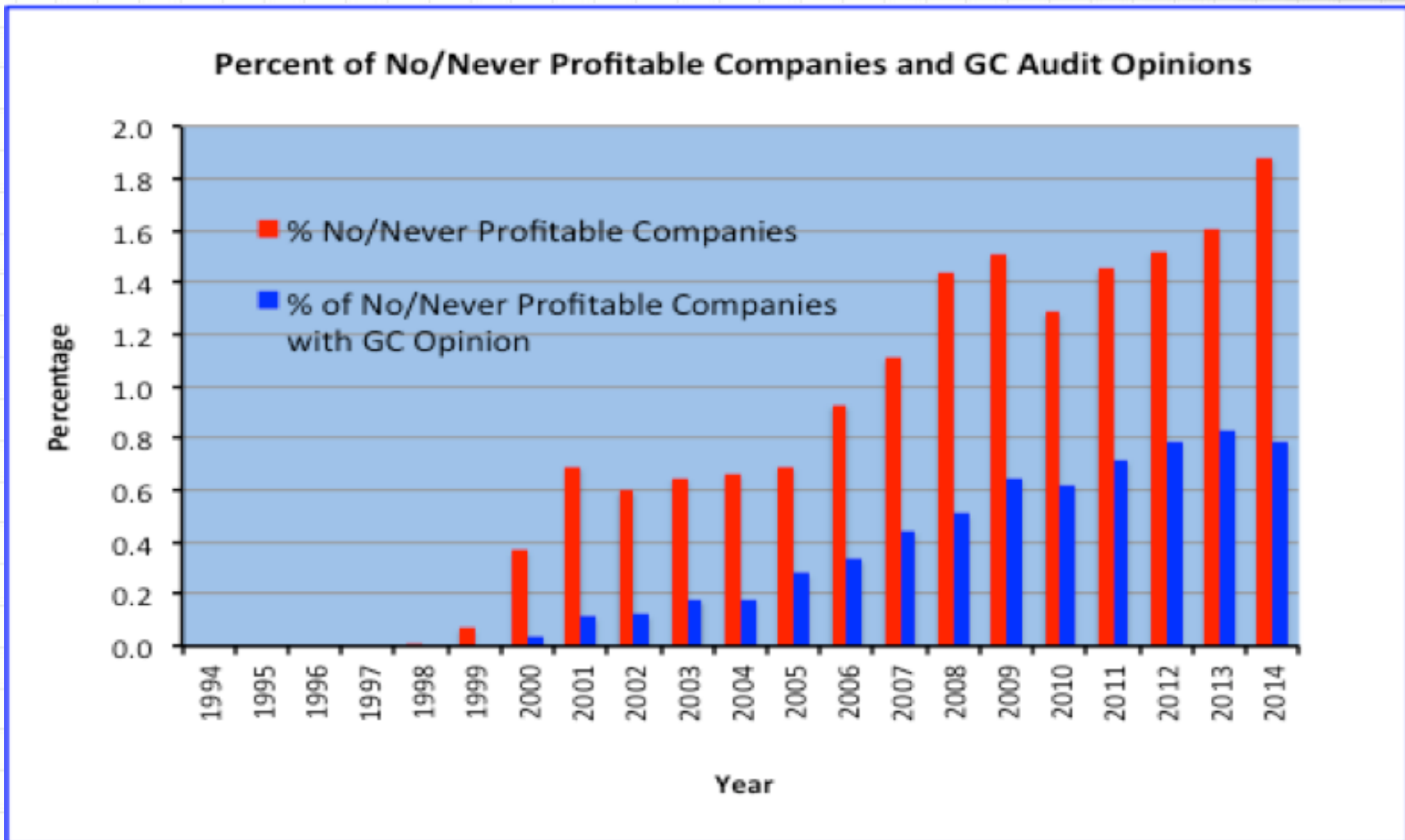
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Some Examples

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Example: “We may never become profitable”
with GC Opinions by Year

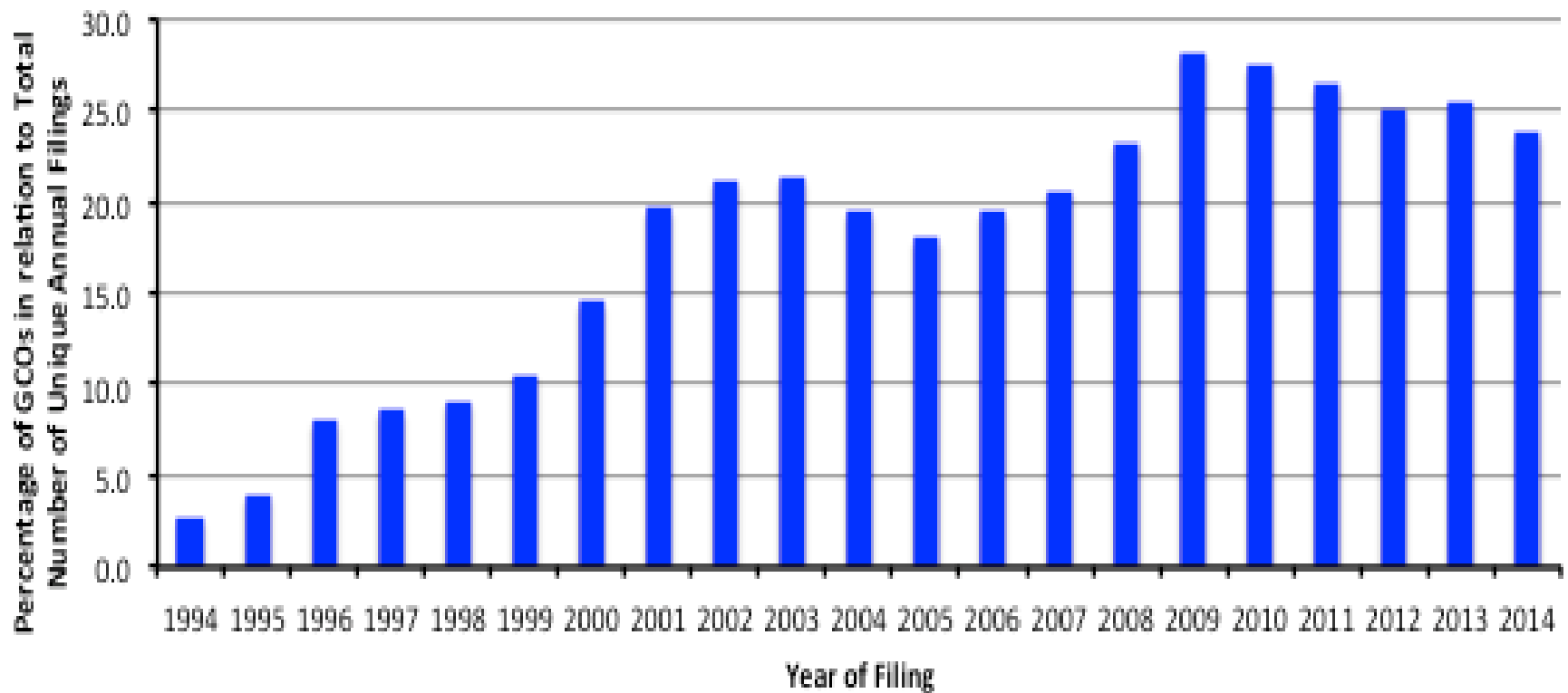


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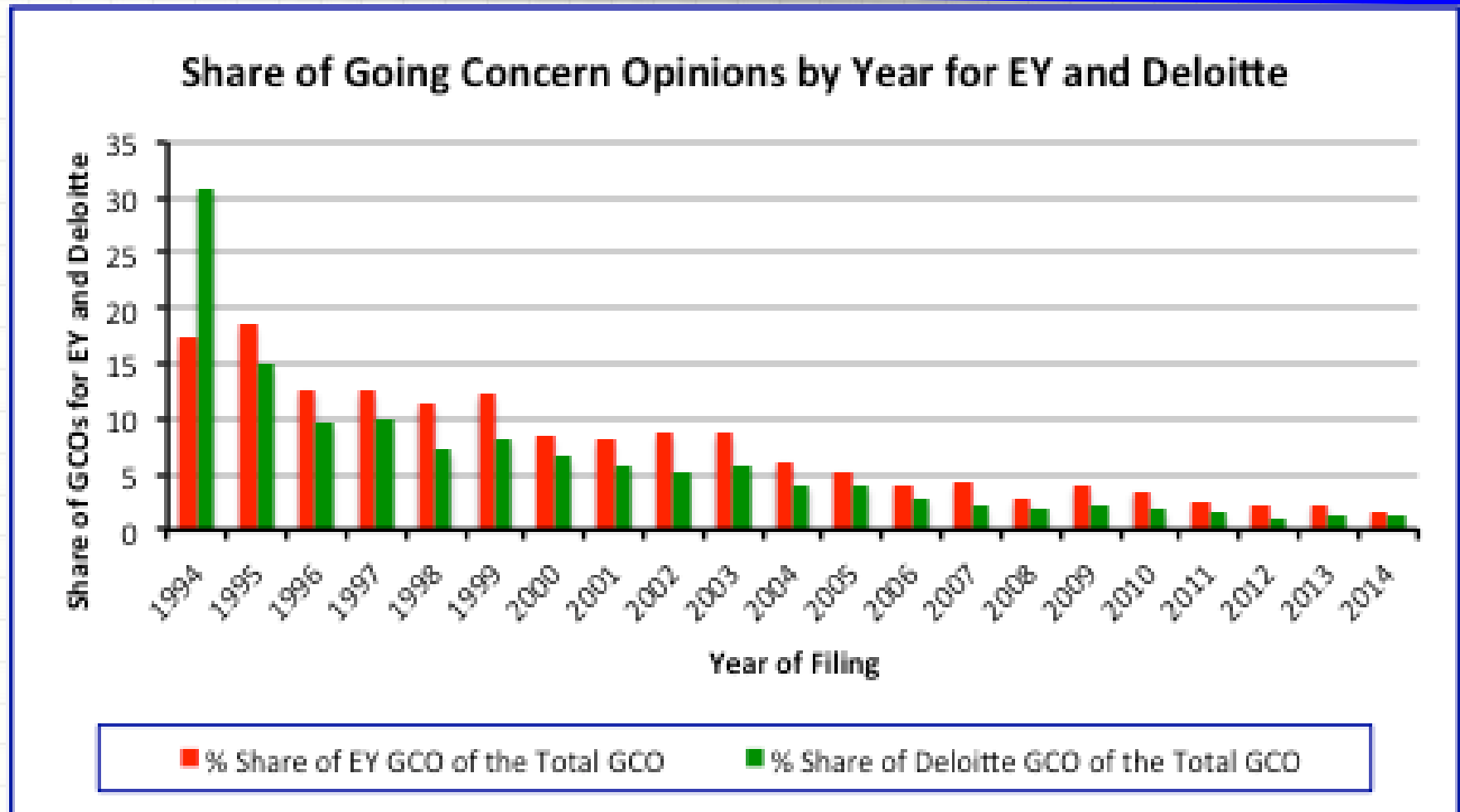
43

Example: – Graph of Going Concern Opinions by Year

Percentage of Going Concern Opinions (GCOs) by Year



Example 7a – Graph of Going Concern Opinions by Year



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Power Features

Search with few words before

&

few words after

a phrase/word

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Obtain Specific Information

Business Address

Fiscal Yearend

Etc.

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Power Features

Proximity Search

Two words within any
number of words

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Executive Compensation

Executives Bios

Any Specific Data

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Executive Compensation Tables from DEF 14A

STEP 5: SUBMIT

1 - 20 of 3681 < >

Download Results

DS HEALTHCARE GROUP, INC.

CIK :1463959
SIC :2844
File Type :DEF 14A
File Date :12-31-2015

BUTLER NATIONAL CORP

CIK :15847
SIC :7990
File Type :DEF 14A
File Date :12-30-2015

VARIAN MEDICAL SYSTEMS INC

CIK :203527
SIC :3845
File Type :DEF 14A
File Date :12-30-2015

MTS SYSTEMS CORP

CIK :88709
SIC :3829
File Type :DEF 14A
File Date :12-30-2015

MISONIX INC

CIK :880432
SIC :3821
File Type :DEF 14A
File Date :12-30-2015

SIMULATIONS PLUS INC

CIK :1023459
SIC :7373
File Type :DEF 14A
File Date :12-29-2015

CARDICA INC

CIK :1178104
SIC :3841
File Type :DEF 14A
File Date :12-29-2015

View File		Download Raw File		Compare		Download Table in CSV			
Name and Principal Position	Year	Salary (\$)	Bonus (\$)	Stock Awards (\$)	Option Awards and Stock Appreciation Rights (\$)	Non-Equity Incentive Plan Compensation (\$)	Change in Pension Value and Nonqualified Deferred Compensation Earnings(\$)	All Other Compensation (\$)(1)	Total (\$)(2)
Clark D. Stewart, CEO	2015	458	---	---	---	---	---	79	537
President and Director	2014	396	---	---	---	---	---	46	442
	2013	477	9	---	---	---	---	39	525
R. Warren Wagener, Director - Chairman of the Board	2015	---	---	---	---	---	---	---	---
	2014	75	---	---	---	---	---	10	85
Craig D. Stewart, Vice President and Chief Financial Officer	2013	269	5	---	---	---	---	15	289
	2015	246	---	---	---	---	---	40	286
Christopher J. Reedy, Vice President and Secretary	2014	210	---	---	---	---	---	46	256
	2013	214	6	---	---	---	---	44	264
Christopher J. Reedy, Vice President and Secretary	2015	244	10	---	---	---	---	23	277
	2014	227	---	---	---	---	---	23	250
Christopher J. Reedy, Vice President and Secretary	2013	230	23	---	---	---	---	26	279

Word Distribution:

Download Distribution

WORD COUNT		
Total Word Count	2964	
Total Word Count without numerics	2486	
TOP WORD LIST		
WORD LIST	COUNT	PERCENTAGE
the	127	4.3
of	96	3.2
0	82	2.8
and	79	2.7
to	52	1.8
000	39	1.3
for	38	1.3
in	36	1.2
by	29	1.0
or	29	1.0
a	29	1.0
which	24	0.8
stock	23	0.8
1	21	0.7
stewart	19	0.6
as	18	0.6
at	18	0.6
125	18	0.6
be	18	0.6
on	17	0.6

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Executive Bios

1 - 20 of 3232 < >

Download Results

DS HEALTHCARE GROUP, INC.
 CIK :1463959
 SIC :2844
 File Type :DEF 14A
 File Date :12-31-2015

LMP CORPORATE LOAN FUND INC.
 CIK :1068963
 SIC :3470
 File Type :DEF 14A
 File Date :12-30-2015

Prudential Short Duration High Yield Fund, Inc.
 CIK :1534880
 SIC :7389
 File Type :DEF 14A
 File Date :12-30-2015

[View File](#)
 [Download Raw File](#)
 [Compare](#)
 [Download Table in CSV](#)

Name	Age	Positions	Director Since
Daniel Khesin (1)	34	Chairman of the Board	2007
Michael Pope	34	Director	2015
Dianne Rosenfeld	51	Director	2015
Karl Sweis	55	Director	2015

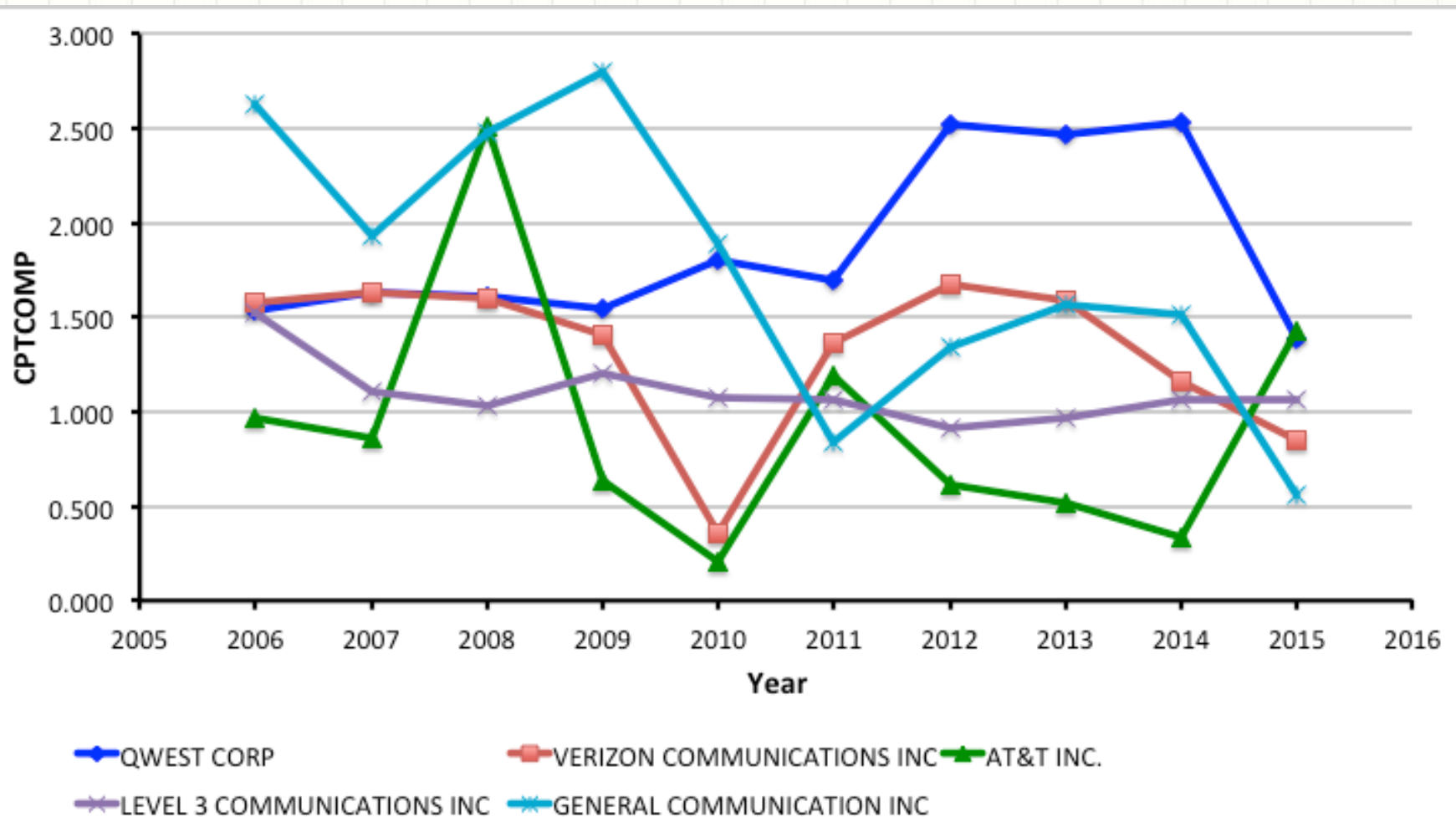
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Count multiple phrases/words in a document
for multiple companies for multiple years

	A	B	C	D	E	F	G	H	I	J	K	L	M
1	CIK	COMPANY NAME	SIC	FILING DATE	FILE TYPE	SEC LINK	TOTAL WORD COUNT	TOTAL WORD COUNT WITHOUT NUMERICS	CLASS ACTION	PATENTS AND COPYRIGHTS	LITIGATION	INVENTORY	
2													
3	1490349	Medley Capital Corp	7311	12/4/15	10-K	http://www.	85172	72582	0	0	3	1	
4	868857	AECOM	8711	11/25/15	10-K	http://www.	72408	65289	7	1	11	0	
5	26076	CUBIC CORP /DE/	3829	11/23/15	10-K	http://www.	104112	98480	3	0	11	26	
6	16099	LUBYS INC	5812	11/9/15	10-K	http://www.	54435	48307	2	0	4	2	
7	1099728	Sibling Group Holdings, Inc.	8200	10/23/15	10-K	http://www.	69747	65927	1	0	5	0	
8	868611	SONIC CORP	5812	10/23/15	10-K	http://www.	34118	30230	1	0	8	3	
9	929887	APOLLO EDUCATION GROUP INC	8200	10/22/15	10-K	http://www.	88025	80151	17	0	34	0	
10	1223862	INFOBLOX INC	7374	9/25/15	10-K	http://www.	62030	57378	1	0	21	46	
11	743758	CLOUDCOMMERCE, INC.	5900	9/24/15	10-K	http://www.	23142	20570	0	0	2	1	
12	1472847	HARRISON VICKERS & WATERMAN INC	7389	9/15/15	10-K	http://www.	67249	63889	0	0	9	9	
13	1490349	Medley Capital Corp		12/8/14	10-K	http://www.	82026	70718	0	0	2	2	
14	26076	CUBIC CORP /DE/	3829	11/26/14	10-K	http://www.	74455	69545	5	0	13	15	
15	868857	AECOM TECHNOLOGY CORP	0	11/17/14	10-K	http://www.	87691	80424	4	1	7	0	
16	16099	LUBYS INC	5812	11/12/14	10-K	http://www.	49169	43793	2	0	3	2	
17	868611	SONIC CORP	5812	10/24/14	10-K	http://www.	43426	39292	1	0	7	1	
18	929887	APOLLO EDUCATION GROUP INC	8200	10/21/14	10-K	http://www.	167010	157545	13	0	49	8	
19	1472847	HARRISON VICKERS & WATERMAN INC	7389	10/10/14	10-KAMEND	http://www.	27541	25980	0	0	4	1	
20	1472847	HARRISON VICKERS & WATERMAN INC	7389	10/9/14	10-K	http://www.	27536	25975	0	0	4	1	
21	1099728	Sibling Group Holdings, Inc.	8200	10/7/14	10-KT	http://www.	26035	23373	0	0	5	0	
22	743758	WARP 9, INC.	5900	9/26/14	10-K	http://www.	21775	19474	0	0	2	1	
23	1223862	INFOBLOX INC	7374	9/25/14	10-K	http://www.	65609	60876	3	0	29	41	
24	26076	CUBIC CORP /DE/	3829	5/12/14	10-KAMEND	http://www.	93330	81891	6	0	18	15	
25	1099728	Sibling Group Holdings, Inc.	8200	4/17/14	10-K	http://www.	34087	31092	2	0	6	0	
26	1490349	Medley Capital Corp	3559	12/10/13	10-K	http://www.	75065	66153	0	0	2	2	
27	26076	CUBIC CORP /DE/	3829	12/5/13	10-K	http://www.	64651	60088	7	0	17	13	
28	868857	AECOM TECHNOLOGY CORP	8711	11/13/13	10-K	http://www.	53831	47926	2	1	4	0	
29	16099	LUBYS INC	5812	11/12/13	10-K	http://www.	57670	51885	2	0	3	1	
30	868611	SONIC CORP	5812	10/25/13	10-K	http://www.	44457	40270	1	0	7	2	
31	929887	APOLLO GROUP INC	8200	10/22/13	10-K	http://www.	115909	107413	18	0	47	0	

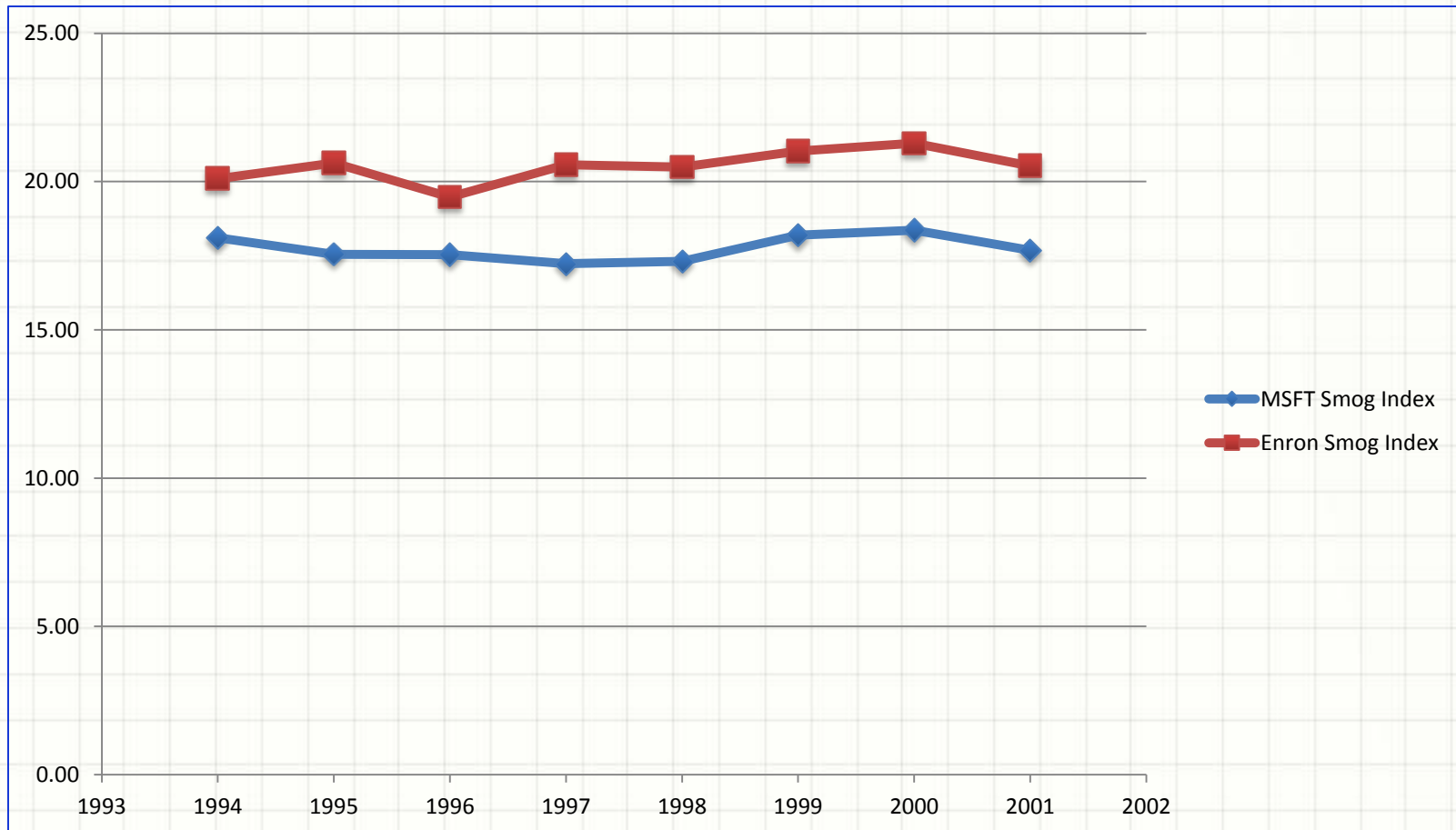
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Competition Metric for Five companies for 10 years



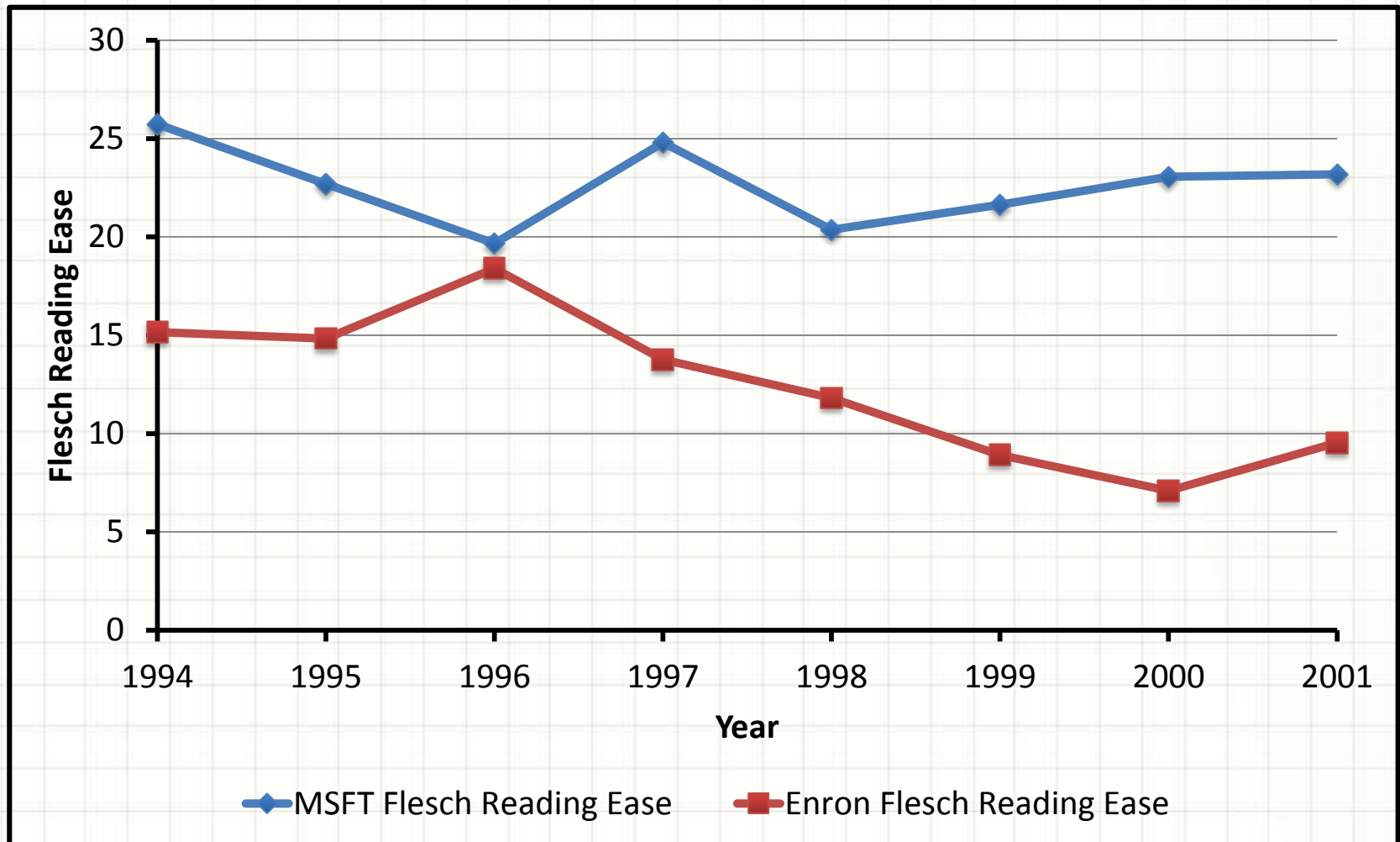
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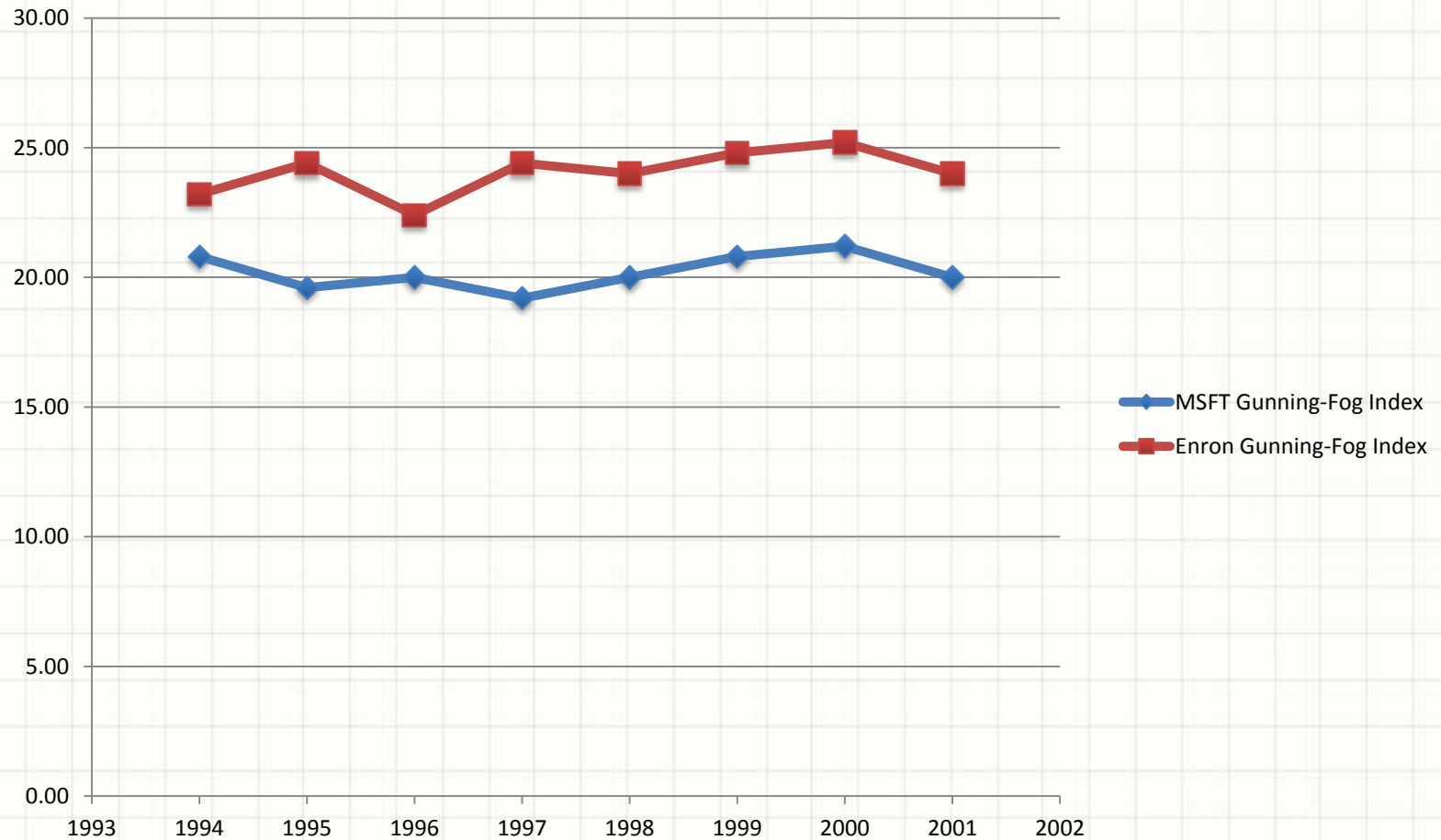
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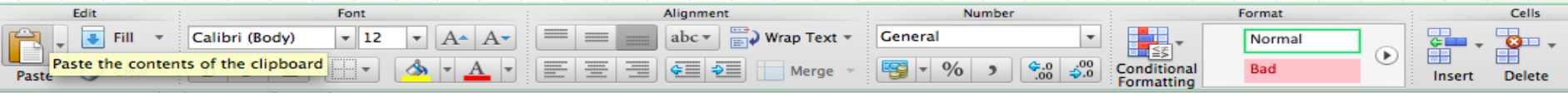
Download output

in

Excel Spreadsheet

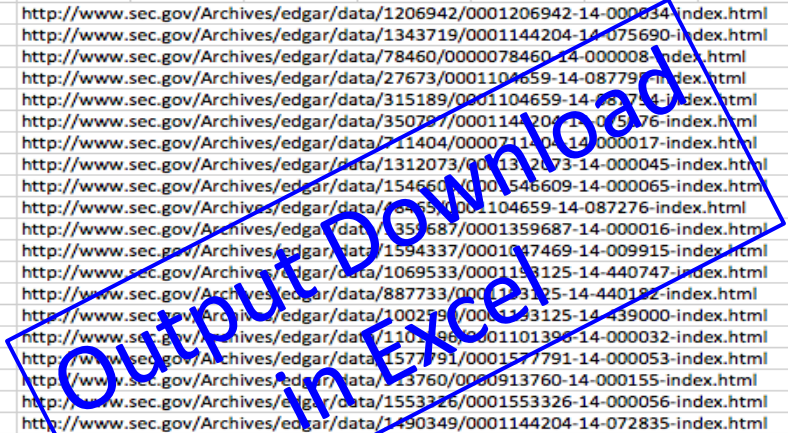
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ENTERED SEARCH FIELDS:

ENTERED SEARCH FIELDS:	RESOLUTION	DOCUMENT	COMPANY	DATE										
TERM	Paragraph (A	10-K/A & 10-	ALL	FROM 1994 to 2014										
AND: futures options swaps hedging														
COMPANY NAME	CIK	SIC	DATE	FILE	SEC LINK									
GOLDEN GRAIN ENERGY	1206942	2860	12/23/14	10-K	http://www.sec.gov/Archives/edgar/data/1206942/0001206942-14-000034-index.html									
Energy XXI Ltd	1343719	1382	12/23/14	10-K/A	http://www.sec.gov/Archives/edgar/data/1343719/0001144204-14-075690-index.html									
PIEDMONT NATURAL GAS CO INC	78460	4924	12/23/14	10-K	http://www.sec.gov/Archives/edgar/data/78460/0000078460-14-000008-index.html									
DEERE JOHN CAPITAL CORP	27673	6153	12/19/14	10-K	http://www.sec.gov/Archives/edgar/data/27673/0001104659-14-087779-index.html									
DEERE & CO	315189	3523	12/19/14	10-K	http://www.sec.gov/Archives/edgar/data/315189/0001104659-14-087779-index.html									
EATON VANCE CORP	350797	6282	12/19/14	10-K	http://www.sec.gov/Archives/edgar/data/350797/0001144204-14-051076-index.html									
COOPER COMPANIES INC	711404	6200	12/19/14	10-K	http://www.sec.gov/Archives/edgar/data/711404/0000711404-14-000017-index.html									
VERIFONE SYSTEMS INC.	1312073	3578	12/17/14	10-K	http://www.sec.gov/Archives/edgar/data/1312073/0001312073-14-000045-index.html									
MVP REIT Inc.	1546609	6798	12/17/14	10-K/A	http://www.sec.gov/Archives/edgar/data/1546609/0001546609-14-000065-index.html									
HORMEL FOODS CORP /DE/	48465	2011	12/17/14	10-K	http://www.sec.gov/Archives/edgar/data/48465/000104659-14-087276-index.html									
RED TRAIL ENERGY LLC	1359687	2860	12/15/14	10-K	http://www.sec.gov/Archives/edgar/data/1359687/0001359687-14-000016-index.html									
Corium International Inc.	1594337	2834	12/15/14	10-K	http://www.sec.gov/Archives/edgar/data/1594337/0001047469-14-009915-index.html									
RGC RESOURCES INC	1069533	4923	12/12/14	10-K	http://www.sec.gov/Archives/edgar/data/1069533/0001133125-14-440747-index.html									
CENTRAL GARDEN & PET CO	887733	5190	12/11/14	10-K	http://www.sec.gov/Archives/edgar/data/887733/0001033125-14-440192-index.html									
STAR GAS PARTNERS LP	1002590	5990	12/10/14	10-K	http://www.sec.gov/Archives/edgar/data/1002590/0001133125-14-439000-index.html									
DELTA APPAREL INC	1101396	5130	12/10/14	10-K	http://www.sec.gov/Archives/edgar/data/1101396/0001101396-14-000032-index.html									
Fifth Street Senior Floating Rate Corp.	1577791	6211	12/10/14	10-K	http://www.sec.gov/Archives/edgar/data/1577791/0001577791-14-000053-index.html									
INTL FCSTONE INC.	913760		12/10/14	10-K	http://www.sec.gov/Archives/edgar/data/913760/0000913760-14-000155-index.html									
Westbury Bancorp Inc.	1553326	6022	12/9/14	10-K	http://www.sec.gov/Archives/edgar/data/1553326/0001553326-14-000056-index.html									
Medley Capital Corp	1490349		12/8/14	10-K	http://www.sec.gov/Archives/edgar/data/1490349/0001144204-14-072835-index.html									
SURMODICS INC	924717	3841	12/5/14	10-K	http://www.sec.gov/Archives/edgar/data/924717/0001193125-14-434817-index.html									
Aramark	1584509	5812	12/3/14	10-K	http://www.sec.gov/Archives/edgar/data/1584509/0001584509-14-000122-index.html									
Fifth Street Finance Corp.	1414932		12/1/14	10-K	http://www.sec.gov/Archives/edgar/data/1414932/0001414932-14-000070-index.html									
STERLING BANCORP	1070154	0	11/28/14	10-K	http://www.sec.gov/Archives/edgar/data/1070154/0001070154-14-000047-index.html									
Post Holdings Inc.	1530950	2040	11/28/14	10-K	http://www.sec.gov/Archives/edgar/data/1530950/0001530950-14-000330-index.html									
UGI CORP /PA/	884614	6022	11/28/14	10-K	http://www.sec.gov/Archives/edgar/data/884614/0000884614-14-000028-index.html									
SUBURBAN PROPANE PARTNERS LP	1005210	5900	11/26/14	10-K	http://www.sec.gov/Archives/edgar/data/1005210/0001193125-14-426338-index.html									
AVAYA INC	1116521	3661	11/26/14	10-K	http://www.sec.gov/Archives/edgar/data/1116521/0001116521-14-000070-index.html									
TFS Financial CORP	1381668	6035	11/26/14	10-K	http://www.sec.gov/Archives/edgar/data/1381668/0001381668-14-000082-index.html									
MTS SYSTEMS CORP	68709	3829	11/26/14	10-K	http://www.sec.gov/Archives/edgar/data/68709/0000897101-14-001738-index.html									
LACLEDE GROUP INC	1126956	4924	11/25/14	10-K	http://www.sec.gov/Archives/edgar/data/1126956/0001126956-14-000066-index.html									
NEW JERSEY RESOURCES CORP	356309	4924	11/25/14	10-K	http://www.sec.gov/Archives/edgar/data/356309/0000356309-14-000113-index.html									
LACLEDE GAS CO	57183	4924	11/25/14	10-K	http://www.sec.gov/Archives/edgar/data/57183/0001126956-14-000066-index.html									
RAYMOND JAMES FINANCIAL INC	720005	6211	11/25/14	10-K	http://www.sec.gov/Archives/edgar/data/720005/0000720005-14-000077-index.html									
WASHINGTON GAS LIGHT CO	104819	4924	11/21/14	10-K	http://www.sec.gov/Archives/edgar/data/104819/0001193125-14-422013-index.html									



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Thanks!