

Innovation and Getting Patents in Universities: FRAANK Patent*

Rajendra P. Srivastava
Ernst Young Distinguished Professor and
Director, EY CARAT



21st World Continuous Auditing Symposium
Rutgers University-Newark

November 5 & 6, 2010

*Professors Alex Kogan and Miklos Vasarhelyi are the other two inventors



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NOTICE OF ALLOWANCE AND FEE(S) DUE

23531 7590 07/22/2010

SUITER SWANTZ PC LLO
 14301 FNB PARKWAY
 SUITE 220
 OMAHA, NE 68154

EXAMINER

WOOD, DAVID L

ART UNIT	PAPER NUMBER
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3695

DATE MAILED: 07/22/2010

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/914,619	08/09/2004	Rajendra P. Srivastava	UKCR 04-3-1	5374
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TITLE OF INVENTION: FINANCIAL REPORTING AND AUDITING AGENT WITH NET KNOWLEDGE FOR EXTENSIBLE BUSINESS
 REPORTING LANGUAGE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
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nonprovisional	YES	\$755	\$0	\$0	\$755	10/22/2010
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THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.



Outline

- FRAANK Technology
- SEEK-INF Technology
- Innovation Environment in a University, especially in the School of Business
- Issues involved in obtaining a patent

MICROSOFT CORP
07-30-2009

- [-] Balance Sheet
 - [ORIGINAL]
 - [EXCEL]
- [+] Income Statement
- [+] Cash Flow
- [+] Non Financial
- [+] 404 Reports
- [+] XBRL INSTANCE
- [+] Financial Ratios
- [+] Synonym
- [+] Itemized Report

(In millions)

June 30,	2009	2008
Assets		
Current assets:		
Cash and cash equivalents	\$ 6,076	\$ 10,339
Short-term investments (including securities pledged as collateral of \$1,540 and \$2,491)	25,371	13,323
Total cash, cash equivalents, and short-term investments	31,447	23,662
Accounts receivable, net of allowance for doubtful accounts of \$451 and \$153	11,192	13,589
Inventories	717	985
Deferred income taxes	2,213	2,017
Other	3,711	2,989
Total current assets	49,280	43,242
Property and equipment, net of accumulated depreciation of \$7,547 and \$6,302	7,535	6,242
Equity and other investments	4,933	6,588
Goodwill	12,503	12,108
Intangible assets, net	1,759	1,973
Deferred income taxes	279	949
Other long-term assets	1,599	1,691
Total assets	\$ 77,888	\$ 72,793
Liabilities and stockholders' equity		
Current liabilities:		
Accounts payable	\$ 3,324	\$ 4,034
Short-term debt	2,000	-
Accrued compensation	3,156	2,934
Income taxes	725	3,248
Short-term unearned revenue	13,003	13,397
Securities lending payable	1,684	2,614
Other	3,142	3,659
Total current liabilities	27,034	29,886
Long-term debt	3,746	-
Long-term unearned revenue	1,281	1,900
Other long-term liabilities	6,269	4,721
Commitments and contingencies		
Stockholders' equity:		

MICROSOFT CORP
07-30-2009

- [-] **Balance Sheet**
 - [...] [ORIGINAL]
 - [...] [EXCEL]
- [+] **Income Statement**
- [+] **Cash Flow**
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- [+] **Itemized Report**

A1		Company : MICROSOFT CORP					
	A	B	C	D	E	F	G
1	Company : MICROSOFT CORP						
2	Date : 2009-06-30						
3	TABLE : balance						
4	UNITS : 1000000						
5							
6							
7			FY2009	FY2008			
8	Cash and cash equivalents	us-gaap:CashAndCashEquivalentsAtCarryingValue	6076	10339			
9	Short-term investments (including securities pled	us-gaap:ShortTermInvestments	25371	13323			
10	Total cash cash equivalents and short-term inves	us-gaap:CashCashEquivalentsAndShortTermInvestments	31447	23662			
11	Accounts receivable net of allowance for doubtful	us-gaap:AccountsReceivableNetCurrent	11192	13589			
12	Inventories	us-gaap:InventoryNet	717	985			
13	Deferred income taxes	us-gaap:DeferredTaxAssetsNetCurrent	2213	2017			
14	Other	us-gaap:OtherAssetsCurrent	3711	2989			
15	Total current assets	us-gaap:AssetsCurrent	49280	43242			
16	Property and equipment net of accumulated depr	us-gaap:PropertyPlantAndEquipmentNet	7535	6242			
17	Equity and other investments	us-gaap:LongTermInvestments	4933	6588			
18	Goodwill	us-gaap:Goodwill	12503	12108			
19	Intangible assets net	us-gaap:IntangibleAssetsNetExcludingGoodwill	1759	1973			
20	Deferred income taxes	us-gaap:DeferredTaxAssetsNetCurrent	279	949			
21	Other long-term assets	us-gaap:OtherAssetsNoncurrent	1599	1691			
22	Total assets	us-gaap:Assets	77888	72793			
23	Accounts payable	us-gaap:AccountsPayable	3324	4034			
24	Short-term debt	us-gaap:ShortTermBorrowings	2000	-			
25	Accrued compensation	us-gaap:EmployeeRelatedLiabilities	3156	2934			
26	Income taxes	us-gaap:AccruedIncomeTaxesPayable	725	3248			
27	Short-term unearned revenue	us-gaap:DeferredRevenueCurrent	13003	13397			
28	Securities lending payable	us-gaap:SecuritiesLoaned	1684	2614			
29	Other	us-gaap:OtherLiabilitiesCurrent	3142	3659			
30	Total current liabilities	us-gaap:LiabilitiesCurrent	27034	29886			
31	Long-term debt	us-gaap:LongTermDebtAndCapitalLeaseObligations	3746	-			

MICROSOFT CORP
07-30-2009

- [-] **Balance Sheet**
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Number of Footnotes Extracted : 24

1	ACCOUNTING POLICIES
2	EARNINGS PER SHARE
3	OTHER INCOME (EXPENSE)
4	INVESTMENTS
5	DERIVATTIVES
6	FAIR VALUE MEASUREMENTS
7	INVENTORIES
8	PROPERTY AND EQUIPMENT
9	ACQUISITIONS
10	GOODWILL
11	INTANGIBLE ASSETS
12	DEBT
13	INCOME TAXES
14	UNEARNED REVENUE
15	OTHER LONG TERM LIABILITIES
16	COMMITMENTS AND GUARANTEES
17	CONTINGENCIES
18	STOCKHOLDERS' EQUITY
19	OTHER COMPREHENSIVE INCOME
20	EMPLOYEE STOCK AND SAVINGS PLANS
21	EMPLOYEE SEVERANCE
22	SEGMENT INFORMATION AND GEOGRAPHIC DATA

NOTE 22 SEGMENT INFORMATION AND GEOGRAPHIC DATA

Segment revenue and operating income (loss) was as follows:

(In millions)

Year Ended June 30,	2009	2008	2007
Revenue:			
Client	\$ 14,414	\$ 16,472	\$ 14,779
Server and Tools	14,135	13,121	11,117
Online Services Business	3,088	3,190	2,434
Microsoft Business Division	18,902	18,935	16,478
Entertainment and Devices Division	7,753	8,213	6,136
Unallocated and other	145	489	178
Consolidated	\$ 58,437	\$ 60,420	\$ 51,122

(In millions)

Year Ended June 30,	2009	2008	2007
Operating Income (Loss):			
Client	\$ 10,435	\$ 12,566	\$ 11,295
Server and Tools	5,047	4,170	3,520
Online Services Business	(2,391)	(1,304)	(617)
Microsoft Business Division	11,940	12,169	10,757
Entertainment and Devices Division	5	325	(1,945)
Reconciling amounts	(4,673)	(5,655)	(4,572)
Consolidated	\$ 20,363	\$ 22,271	\$ 18,438

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Y! Mail Weather Flickr Finance eBay

Conversion of 10K reports into XBRL fo... ::: FRAANK ::: http://fraank....=1492&end=1743

ITEM 6. SELECTED FINANCIAL DATA

FINANCIAL HIGHLIGHTS

(In millions, except per share data)

Fiscal Year Ended June 30,	2009	2008	2007	2006	2005
Revenue	\$ 58,437	\$ 60,420	\$ 51,122	\$ 44,282	\$ 39,788
Operating income	\$ 20,363	\$ 22,271	\$ 18,438	\$ 16,380	\$ 14,576
Net income	\$ 14,569	\$ 17,681	\$ 14,065	\$ 12,599	\$ 12,254
Diluted earnings per share	\$ 1.62	\$ 1.87	\$ 1.42	\$ 1.20	\$ 1.12
Cash dividends declared per share	\$ 0.52	\$ 0.44	\$ 0.40	\$ 0.35	\$ 0.34
Cash and cash equivalents and short-term investments	\$ 31,447	\$ 23,662	\$ 23,411	\$ 34,161	\$ 37,751
Total assets	\$ 77,888	\$ 72,793	\$ 63,171	\$ 69,597	\$ 70,815
Long-term obligations	\$ 11,296 ^(a)	\$ 6,621	\$ 8,320	\$ 7,051	\$ 5,823
Stockholders' equity	\$ 39,558	\$ 36,286	\$ 31,097	\$ 40,104	\$ 48,115

(a) Includes \$3.75 billion of debt securities issued in May 2009. See Note 12 – Debt of the Notes to Financial Statements (Part II, Item 8).

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Part II
Item 7

Done

start

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11:24 PM

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- ⊕ [Cash Flow](#)
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 - ⋮ [Auditor's Report](#)
 - ⋮ [Auditor's Report Summa](#)
 - ⋮ [Auditor's Report \[XBRL 2.1\]](#)
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- ⊕ [XBRL INSTANCE](#)
- ⊕ [Financial Ratios](#)
- ⊕ [Synonym](#)
- ⊕ [Itemized Report](#)

Name	Position
CHRISTOPHER P. LIDDELL	Senior Vice President; Chief Financial Officer (Principal Financial Officer)
DAVID F. MARQUARDT	Director
CHARLES H. NOSKI	Director
RAYMOND V. GILMARTIN	Director
JAMES I. CASH, JR.	Director
WILLIAM H. GATES III	Chairman
STEVEN A. BALLMER	Director and Chief Executive Officer
HELMUT PANKE	Director
DINA DUBLON	Director
REED HASTINGS	Director
MARIA KLAWE	Director

MICROSOFT CORP
07-30-2009

- Balance Sheet
- Income Statement
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 - [INSTANCE DOCUMENT]
 - [CALCULATION LINKBASE]
 - [PRESENTATION LINKBASE]
 - [LABEL LINKBASE]
 - [SCHEMA LINKBASE]
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```

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MICROSOFT CORP
07-30-2009

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```

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MICROSOFT CORP
09-18-2001

Balance Sheet

- └ [ORIGINAL]
- └ [EXCEL]

Income Statement

Cash Flow

Non Financial

- └ Auditor's Report
- └ Auditor's Report Summary
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- └ Signatures
- └ Footnotes

404 Reports

INSTANCE

DOCUMENT

- └ Financial Ratios
- └ Ratios

Itemized Report

To the Board of Directors and Stockholders of Microsoft Corporation:

We have audited the accompanying consolidated balance sheets of Microsoft Corporation and subsidiaries as of June 30, 2000 and 2001, and the related consolidated statements of income, cash flows, and stockholders' equity for each of the three years in the period ended June 30, 2001. These financial statements are the responsibility of the Company's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, such consolidated financial statements present fairly, in all material respects, the financial position of Microsoft Corporation and subsidiaries as of June 30, 2000 and 2001, and the results of their operations and their cash flows for each of the three years in the period ended June 30, 2001 in conformity with accounting principles generally accepted in the United States of America.

As discussed in the notes to the financial statements, the Company was required to adopt Statement of Financial Accounting Standards No. 133, Accounting for Derivative Instruments and Hedging Activities, effective July 1, 2000.

MICROSOFT CORP
09-18-2001

[-] Balance Sheet

- [...] [ORIGINAL]
- [...] [EXCEL]

[+] Income Statement

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[+] INSTANCE

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AUDITOR REPORT SUMMARY

NAME OF THE AUDITOR : Deloitte & Touche LLP

AUDITOR'S ADDRESS :
Seattle, Washington

COMPANY NAME : MICROSOFT CORP

STATEMENTS AUDITED:

- balance sheets
- statements of income
- cash flows
- stockholders' equity

AUDIT DATE : July 19, 2001

DUAL DATE : September 6, 2001

TYPE OF OPINION: STANDARD UNQUALIFIED REPORT

Enter criteria for searching financial statements

Note: If a list of companies is selected, the link of the file where the results will be stored is presented.

Note: For multiple company search, use ; (double colon) between each company

Company Details* MSFT

List file [] Browse...

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Detail type Ticker

SIC lookup formats

Statements 10-K

from to

Date Range (YYYY-YYYY) 2009 2009

Search String Executive officers

Search String Option Partial

Search String Count Display On Off

Resolution Tables search

Data collection: Interactive Background

* Enter either company name or Industry SIC code. For exhaustive search enter "ALL".

logout

customers; consequently, backlog is not significant.

Executive officers OF THE REGISTRANT

Our Executive officers as of July 29, 2009 were as follows:

Name	Age	Position with the Company
Steven A. Ballmer	53	Chief Executive Officer
Robert J. (Robbie) Bach	47	President, Entertainment and Devices Division
Lisa E. Brummel	49	Senior Vice President, Human Resources
Stephen A. Elop	45	President, Microsoft Business Division
Christopher P. Liddell	51	Senior Vice President and Chief Financial Officer
Qi Lu, Ph.D.	47	President, Online Services Division
Robert L. Muglia	49	President, Server and Tools Business
Craig J. Mundie	60	Chief Research and Strategy Officer

Enter criteria for searching financial statements

Note: If a list of companies is selected, the link of the file where the results will be stored is presented.

Note: For multiple company search, use ; (double colon) between each company

Company Details* MSFT

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upload

Detail type Ticker

[SIC lookup](#) [formats](#)

Statements DEF 14A

from to

Date Range (yyy-yyy) 2009 2009

Search String audit fee

Search String Option Partial

Search String Count Display On Off

Resolution Tables

Data collection: Interactive Background

* Enter either company name or Industry SIC code. For exhaustive search enter "ALL".

logout

FEES BILLED BY DELOITTE & TOUCHE

Fees. The following table presents fees for professional audit services rendered by Deloitte & Touche for the audit of Microsoft's annual financial statements for the years ended June 30, 2009, and 2008, and fees billed for other services rendered by Deloitte & Touche during those periods.

(In millions)

Year Ended June 30	2009	2008
audit fees	\$19.0	\$19.8
Audit-Related Fees	6.8	7.2
Tax Fees	0.4	0.5
All Other Fees	0.1	0.4
Total	\$26.3	\$27.9

audit fees. These amounts represent fees of Deloitte & Touche for the audit of our annual consolidated financial statements, the review of financial statements included in our quarterly Form 10-Q reports, the audit of internal control over financial reporting, and the services that an independent auditor would



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Note: If a list of companies is selected, the link of the file where the results will be stored is presented.

Note: For multiple company search, use :: (double colon) between each company

Company Details* MSFT

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Detail type Ticker

[SIC lookup](#) [formats](#)

Statements 10-K

from to

Date Range (YYYY-YYYY) 2009 2009

Search String cash flows

Search String Option Partial

Search String Count Display On Off

Resolution Paragraph [search]

Data collection: Interactive Background

the registrant as of, and for, the periods presented in this report; 4. The registrant's other certifying officer and I are responsible for establishing and maintaining disclosure controls and procedures (as defined in Exchange Act Rules 13a-15(e) and 15d-15(e)) and internal control over financial reporting (as defined in Exchange Act Rules 13a-15(f) and 15d-15(f)) for the registrant and have: a) Designed such disclosure controls and procedures, or caused such disclosure controls and procedures to be designed under our supervision, to ensure that material information relating to the registrant, including its consolidated subsidiaries, is made known to us by others within those entities, particularly during the period in which this report is being prepared; b) Designed such internal control over financial reporting, or caused such internal control over financial reporting to be designed under our supervision, to provide reasonable assurance regarding the reliability of financial reporting and the preparation of financial statements for external purposes in accordance with generally accepted accounting principles; c) Evaluated the effectiveness of the registrant's disclosure controls and procedures and presented in this report our conclusions about the effectiveness of the disclosure controls and procedures, as of the end of the period covered by this report based on such evaluation; and d) Disclosed in this report any change in the registrant's internal control over financial reporting that occurred during the registrant's most recent fiscal quarter (the registrant's fourth fiscal quarter in the case of an annual report) that has materially affected, or is reasonably likely to materially affect, the registrant's internal control over financial reporting; and 5. The registrant's other certifying officer and I have disclosed, based on our most recent evaluation of internal control over financial reporting, to the registrant's auditors and the audit committee of registrant's Board of Directors (or persons performing the equivalent functions): a) All significant deficiencies and material weaknesses in the design or operation of internal control over financial reporting which are reasonably likely to adversely affect the registrant's ability to record, process, summarize and report financial information; and b) Any fraud, whether or not material, that involves management or other employees who have a significant role in the registrant's internal control over financial reporting. July 29, 2009

The word cash flows is found 23 times in 'paragraph search'



Enter criteria for searching financial statements

Note: If a list of companies is selected, the link of the file where the results will be stored is presented.

Note: For multiple company search, use ;; (double colon) between each company

Company Details *

List file no file selected

Detail type

[SIC lookup](#) [formats](#)

Statements

from to

Date Range (yyyy-yyyy)

Search String

Search String Option

Search String Count Display On On Off

Resolution

Data collection: Interactive Background

* Enter either company name or Industry SIC code.
For exhaustive search enter "ALL".

Page Financial Statements. Report of Independent Registered Public Accounting Firm 50
Consolidated Statements of Financial Position at January 30, 2009 and February 1, 2008 51 Consolidated
Statements of Income for the fiscal years ended January 30, 2009, February 1, 2008, and February 2, 2007
52 Consolidated Statements of **Cash flows** for the fiscal years ended January 30, 2009, February 1, 2008, and
February 2, 2007 53 Consolidated Statements of Stockholders' Equity for the fiscal years ended
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DELL INC - 10-K filed on: 2009-03-26

3. Based on my knowledge, the financial statements, and other financial information included in this report, fairly present in all material respects the financial condition, results of operations and **Cash flows** of the registrant as of, and for, the periods presented in this report;

DELL INC - 10-K filed on: 2009-03-26

3. Based on my knowledge, the financial statements, and other financial information included in this report, fairly present in all material respects the financial condition, results of operations and **Cash flows** of the registrant as of, and for, the periods presented in this report;

The word Cash flow is found 70 times in 'paragraph search'



This demo supports searches for 8-K, 10-K, 10-Q, 13F, DEF14A and S-1 filings from 2005.

Keyword: Company: Name

[More options](#)

<p>Resolution</p> <p><input checked="" type="radio"/> Paragraph (All)</p> <p><input type="radio"/> Table:</p> <p><input type="radio"/> Footnote:</p>	<p>Document Types</p> <p><input type="radio"/> All</p> <p><input checked="" type="radio"/> Select:</p> <p><input type="checkbox"/> 8-K</p> <p><input checked="" type="checkbox"/> 10-K</p> <p><input type="checkbox"/> 10-Q</p> <p><input type="checkbox"/> 13F</p> <p><input type="checkbox"/> DEF14A</p> <p><input type="checkbox"/> S-1</p>	<p>Date</p> <p>From: <input type="text" value="2008"/></p> <p>To: <input type="text" value="2010"/></p>
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RUBIOS RESTAURANTS INC

10-K

20100326

[file](#)

by earthquakes. Further, although we maintain insurance coverage for employee-related litigation, the deductible per incident is high and because of the high cost, we carry only limited insurance for the effects of such claims. In addition, **punitive damage** awards are generally not covered by insurance. In addition, our insurance does not provide coverage for the type of claims asserted in the two employee actions discussed in Item 3, Legal Proceedings. Any insurance we maintain may not be sufficient

[+ More...](#)

Inland Diversified Real Estate Trust, Inc.

10-K

20100326

[file](#)

, if at all. In some states, insurance coverage for the risk of **punitive damages** arising from professional liability and general liability claims or litigation may not, in certain cases, be available due to state law. Tenants in these states may be liable for **punitive damage** awards that are either not covered or that exceed their insurance policy limits. We also believe that there has been, and will continue to be, an increase in governmental investigations of certain healthcare providers

[+ More...](#)

coverage, if a tenant is required to pay uninsured **punitive damages**, or if a tenant is subject to an uninsurable government enforcement action, the tenant could be exposed to substantial additional liabilities, which may affect the tenant's ability to pay rent. Delays in collecting accounts receivable by tenants at our healthcare-related facilities could adversely affect their cash flows and financial condition and their ability to meet their obligations to us. Billing and collection of accounts

[+ More...](#)

GRANITE CITY FOOD & BREWERY LTD

10-K

20100322

[file](#)

and extended coverage and property insurance. However, there are certain types of losses which may be uninsurable or not economically insurable. Such hazards may include earthquake, hurricane and flood losses. If such a loss should occur, we would, to the extent that we are not covered for such loss by insurance, suffer a loss of the capital invested in, as well as anticipated profits and/or cash flow from, such damaged or destroyed properties. **Punitive damage** awards are generally not covered

[+ More...](#)

by insurance; thus, any awards of **punitive damage** as to which we may be liable could adversely affect our ability to continue to conduct our business or to develop future restaurants. We cannot assure you that any insurance coverage we maintain will be adequate, that we can continue to obtain and maintain such insurance at all, or that the premium costs will not rise to an extent that they adversely affect our business or our ability to economically obtain or maintain such insurance. Risks Related

[+ More...](#)

SYNALLOY CORP

10-K

20100322

[file](#)**BERKSHIRE HILLS BANCORP INC**

10-K

20100316

[file](#)

, Massachusetts consumer protection and civil rights statutes applicable to Berkshire Bank permit private individual and class action law suits and provide for the rescission of consumer transactions, including loans, and the recovery of statutory and **punitive damage** and attorney's fees in the case of certain violations of those statutes. Depositors Insurance Fund. All Massachusetts-chartered savings banks are required to be members of the Depositors Insurance Fund (DIF), a corporation

[+ More...](#)



This demo allows you to search industry filings from Jan 1, 2008.

Keyword: Company: Name

[More options](#)

<p>Resolution</p> <p><input type="radio"/> Paragraph (All)</p> <p><input checked="" type="radio"/> Other:</p> <p><input checked="" type="checkbox"/> Table</p> <p><input type="checkbox"/> Footnote</p>	<p>Document Types</p> <p><input type="radio"/> All</p> <p><input checked="" type="radio"/> Select:</p> <p><input type="checkbox"/> 8-K</p> <p><input checked="" type="checkbox"/> 10-K</p> <p><input type="checkbox"/> 10-Q</p> <p><input type="checkbox"/> DEF14A</p>	<p>Date</p> <p>From (yyyy):</p> <p><input type="text" value="2008"/></p> <p>To (yyyy):</p> <p><input type="text" value="2008"/></p>
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This demo allows you to search industry filings from Jan 1, 2008.

Keyword: Company: Name

[More options](#)

Halliburton Co (1)

HALLIBURTON CO 10-K 20080222 [file](#)

Delaware **75-2677995**
 (State or other jurisdiction of (I.R.S. Employer
 incorporation or organization) Identification No.)

5 Houston Center
1401 McKinney, Suite 2400
Houston, Texas 77010
 (Address of principal executive offices)

Telephone Number – Area code (713) 759-2600

Securities registered pursuant to Section 12(b) of the Act:

<u>Title of each class</u>	<u>Name of each Exchange on which registered</u>
Common Stock par value \$2.50 per share	New York Stock Exchange

Securities registered pursuant to Section 12(g) of the Act: None

<u>PART I</u>	<u>PAGE</u>
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<u>Name and Age</u>	<u>Offices Held and Term of Office</u>
Evelyn M. Angelle (Age 40)	Vice President, Corporate Controller, and Principal Accounting Officer of Halliburton Company, since January 2008 Vice President, Operations Finance of Halliburton Company, December 2007 to January 2008 Vice President, Investor Relations of Halliburton Company, April 2005 to November 2007 Assistant Controller of Halliburton Company, April 2003 to March 2005 Senior Manager of Ernst & Young, April 1996 to March 2003
Peter C. Bernard (Age 46)	Senior Vice President, Business Development and Marketing of Halliburton Company, since June 2006 Senior Vice President, Digital and Consulting Solutions of Halliburton Company, December 2004 to May 2006 President of Landmark Graphics Corporation, May 2004 to December 2004 Vice President, Marketing and Managed Accounts of Landmark Graphics Corporation, May 2003 to May 2004 Vice President, Strategic Account Business Development, January 2002 to May 2003
James S. Brown (Age 53)	President, Western Hemisphere of Halliburton Company, since January 2008 Senior Vice President, Western Hemisphere of Halliburton Company, June 2006 to December 2007 Senior Vice President, United States Region of Halliburton Company, December 2003 to June 2006 Vice President, Western Area of Halliburton Company, November 2003 to December 2003 Vice President, Business Development of Halliburton Company, October 2001 to October 2003
* Albert O. Cornelison, Jr. (Age 58)	Executive Vice President and General Counsel of Halliburton Company, since December 2002 Director of KBR, Inc., June 2006 to April 2007
C. Christopher Gaut (Age 51)	President, Drilling and Evaluation Division of Halliburton Company, since January 2008 Director of KBR, Inc., March 2006 to April 2007 Executive Vice President and Chief Financial Officer of Halliburton Company, March 2003 to December 2007 Senior Vice President, Chief Financial Officer, and Member - Office of the President, KBR, Inc., since January 2003



This demo supports searches for 8-K, 10-K, 10-Q, 13F, DEF14A and S-1 filings from 2005.

Keyword: Company: Ticker

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Resolution

Paragraph (All)

Table:

Footnote:

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All

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8-K

10-K

10-Q

13F

DEF14A

S-1

Date

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To:

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XOM (3)

EXXON MOBIL CORP	10-K	20100226	file
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- Employment by ExxonMobil of a family member of an executive officer, *provided* the executive officer does not participate in decisions regarding the hiring, performance evaluation, or **compensation** of the family member.
- [Get Table](#)

Name	Fees Earned or Paid in Cash (\$)	Stock Awards \$(a)	Option Awards (\$)	Non-Equity Incentive Plan Compensation (\$)	Change in Pension Value and Nonqualified Deferred Compensation Earnings (\$)	Other Compensation \$(b)	Total (\$)
M.J. Boskin	105,962	201,263	0	0	0	388	307,613
L.R. Faulkner	100,000	201,263	0	0	0	388	301,651
K.C. Frazier	59,615	554,280	0	0	0	388	614,283
W.W. George	110,000	201,263	0	0	0	388	311,651
J.R. Houghton	44,423	201,263	0	0	0	388	246,074
R.C. King	100,000	201,263	0	0	0	388	301,651
M.C. Nelson	100,000	201,263	0	0	0	388	301,651
S.J. Palmisano	100,000	201,263	0	0	0	388	301,651
S.S. Reinemund	100,000	201,263	0	0	0	388	301,651
W.V. Shipley	40,385	201,263	0	0	0	388	242,036
E.E. Whitacre, Jr.	100,000	201,263	0	0	0	388	301,651

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	• Key Elements of the Compensation Program	121
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	• Business Performance and Basis for Compensation Decisions	122

Discount rate	6.00	6.25	5.20	5.50	6.00	6.25
Long-term rate of compensation increase	5.00	5.00	5.00	4.70	5.00	5.00
<i>(millions of dollars)</i>						
Change in benefit obligation						
Benefit obligation at January 1	\$13,272	\$12,062	\$19,990	\$22,475	\$ 6,633	\$ 6,828
Service cost	438	378	421	434	94	100
Interest cost	809	729	1,121	1,152	408	414
Actuarial loss/(gain)	1,126	1,227	1,280	76	(49)	(243)
Benefits paid (1) (2)	(1,665)	(1,124)	(1,174)	(1,286)	(480)	(466)
Foreign exchange rate changes	—	—	1,676	(2,682)	60	(83)
Plan amendments, other	1	—	30	(179)	82	83
Benefit obligation at December 31	\$13,981	\$13,272	\$23,344	\$19,990	\$ 6,748	\$ 6,633
Accumulated benefit obligation at December 31	\$11,615	\$11,000	\$20,909	\$17,893	\$ —	\$ —

[Get Table](#)

	Pension Benefits						Other Postretirement Benefits		
	U.S.			Non-U.S.					
	2009	2008	2007	2009	2008	2007	2009	2008	2007
<i>(percent)</i>									
Weighted-average assumptions used to determine net periodic benefit cost for years ended December 31									
Discount rate	6.25	6.25	6.00	5.50	5.40	4.70	6.25	6.25	6.00
Long-term rate of return on funded assets	8.00	9.00	9.00	7.30	7.50	7.70	8.00	9.00	9.00
Long-term rate of compensation increase	5.00	5.00	4.50	4.70	4.50	4.20	5.00	5.00	4.50
<i>(millions of dollars)</i>									
Components of net periodic benefit cost									
Service cost	\$ 438	\$ 378	\$ 360	\$ 421	\$ 434	\$ 451	\$ 94	\$ 100	\$ 109
Interest cost	809	729	687	1,121	1,152	1,011	408	414	403
Expected return on plan assets	(656)	(915)	(844)	(886)	(1,200)	(1,105)	(35)	(59)	(44)
Amortization of actuarial loss/(gain)	694	239	246	648	318	362	176	197	243
Amortization of prior service cost	—	(2)	23	79	93	89	69	76	75
Net pension enhancement and curtailment/settlement expense	485	174	190	2	32	19	—	—	9



Innovation Environment in a University, especially in School of Business

- The pressure to publish in top journals
- Cannot simply engage in an innovative idea unless chance to publish
- Universities have a process but it is the individual faculty member who has to take initiatives to file the disclosure and go through the patent application.
- Taking initiatives is a problem due to time constraints.



Issues involved in obtaining a patent

- Difficulties in understanding the Lawyers Language
 - Legal language vs. scientific language
- Persistence and Patience
 - Rejection after rejection
 - Convince the uniqueness of your ideas
 - Be very explicit in pointing the differences with other similar patented ideas
- Length of time from application to getting the patent
 - It took 6 years for FRAANK Patent
 - It is a slow and time consuming process