

# RUTGERS

Rutgers Business School  
Newark and New Brunswick

Preliminary  
Agenda 10/18/17

## 40<sup>th</sup> World Continuous Auditing & Reporting Symposium November 3 & 4, 2017

Hosted by: Rutgers Accounting Research Center (RARC)  
Continuous Auditing & Reporting Laboratory (CAR-Lab)

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1 Washington Park, Room 220  
Newark, NJ 07102  
[www.raw.rutgers.edu/40wcars.html](http://www.raw.rutgers.edu/40wcars.html)

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## 40<sup>th</sup> World Continuous Auditing & Reporting Symposium

**Location:**

Rutgers Business School, 1 Washington Park, Room 220

Newark, NJ 07102

<http://raw.rutgers.edu/40wcars.html>

**40 WCARS Executive Committee:**

**Dr. Miklos Vasarhelyi**, Director - Rutgers Accounting Research Center & Continuous Auditing & Reporting Lab, KPMG Distinguished Professor of Accounting Information Systems

**Dr. Michael Alles**, Symposium Chair, Professor of Accounting Information Systems, Editor, International Journal of Disclosure and Governance

**Dr. Alexander Sannella**, Director - MBA in Professional Accounting & Director of the Teaching Excellence Center

**Barbara Jensen**, Program Administrator, Rutgers Accounting Research Center & Continuous Auditing & Reporting Lab

14 CPE credits in auditing will be awarded for this program. Rutgers Business School is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: [www.learningmarket.org](http://www.learningmarket.org).

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# **40 WCARS-PRELIMINARY 101817v1**

*Friday, November 3, 2017*

- 8:00 AM**      **REGISTRATION & CONTINENTAL BREAKFAST (2nd Floor Student Lounge)**
- 8:30 AM**      **Introduction & Welcome**  
*Dr. Lei Lei*, Dean, Rutgers Business School (RBS) Newark & New Brunswick  
*Dr. Miklos A. Vasarhelyi*, Director, RARC, CAR Lab, KPMG Professor of AIS, RBS  
*Dr. Michael Alles*, Symposium Chair, Professor of Accounting, RBS
- 8:45 AM**      **Session I:**  
**“Auditing Blockchain”**  
*Dr. Rod Brennan*, Audit Technologies Director/Educator, Libra  
*Jake Benson*, CEO, Libra
- 9:30 AM**      **Session II:**  
**“Going Beyond Data Analytics”**  
*Alain Soubliere*, Chief Product Strategist, CaseWare IDEA, Inc.  
*Rohit Kundu*, CIDA, Enterprise Account Executive, CaseWare Analytics
- 10:15 AM**      **BREAK & NETWORKING (2nd Floor Student Lounge)**
- 10:35 AM**      **Session III: AICPA Panel**  
**“Rutgers AICPA Data Analytics Research Initiative(RADAR ) & the Audit Data Analytics (ADA) Guide”**  
Panelists: TBA
- 11:30 AM**      **Session IV:**  
**“Robot Auditors Impact on the Work and the Workers”**  
*Patrick Taylor*, President & CEO, Oversight Systems
- 12:00 PM**      **LUNCHEON at the NEWARK MUSEUM, Engelhard Court, 1<sup>ST</sup> Floor**  
**49 Washington St, Newark, NJ 07102**

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- 1:30 PM**      **Session V:**  
**“How to Automate Risk and Control Assessments with Data Analytics”**  
*Kevin Legere*, Director of Product Design, ACL Services
- 2:15 PM**      **Session VI:**  
**“Robotics Process Automation (RPA)”**  
*Anthony Charilas*, Audit & Assurance Senior Manager, Deloitte

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- 2:45 PM      **Session VII:**  
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**Speaker – TBA**
- 3:15 PM      **BREAK & NETWORKING (2nd Floor Student Lounge)**
- 3:30 PM      **Session VIII:**  
**“AuditChain: Decentralized Continuous Audit and Reporting Protocol Ecosystem”**  
*Jason Meyers*, Founder, Vestcomp Ventures &, Co-Founder, AuditChain
- 3:55 PM      **Session IX:**  
**“Where Did the Money Go? A Software Solution for \$140 Million Ponzi Scheme”**  
*Chris McCall*, CEO & Co-Founder, Valid8  
*Tod McDonald*, CFO/COO & Co-Founder, Valid8
- 4:20 PM      **Session X: Continuous Auditing & Reporting Lab Research**  
**“Robotic Process Automation in Auditing”**  
*Feiqi Huang*, PhD Student, RBS  
**“Experimentation: Re-Engineering the Audit Process”**  
*Andrea Rozario*, PhD student, RBS  
**“Text Mining of Risk Factor Disclosures in Annual Reports”**  
*Yue Liu*, PhD student, RBS  
**“Securities & Exchange Commission of Brazil, CVM Project”**  
*Mauricio Mello Codesso*, PhD Student, Universidade Federal de Santa Catarina, Brazil
- 4:40 PM      **Session XI: Research on Modern Audit**  
**“The Incremental Informativeness of Management Sentiment in Conference Calls for the Detection of Internal Control Material Weaknesses: An Application of Deep Learning”**  
*Dr. Ting Sun*, PhD student, RBS
- 5:00 PM      **“Developing a Cognitive Assistant for Audit Plan Meetings”**  
*Qiao Li*, PhD student, RBS
- 5:20 PM      **ADJOURNMENT**
- 6:00 PM      **DINNER at the Spanish Pavillion, 31 Harrison Ave, Harrison, NJ 07029**

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*Saturday, November 4, 2017*

- 8:00 AM**      **CONTINENTAL BREAKFAST (2nd Floor Student Lounge)**
- 8:30 AM**      **Session I:**  
**“Value Networks and REA Contracts on the Blockchain”**  
*Dr. Graham Gal*, Associate Professor, University of Massachusetts
- 9:00 AM**      **Session II:**  
**“Design & Auditing Cloud Based Accounting Systems with Blockchain & Distributed Ledger Principles”**  
*Dr. Deniz Appelbaum*, Assistant Professor, Montclair State University  
*Dr. Robert Nehmer*, Associate Professor, Oakland University
- 9:30 AM**      **Session III:**  
**“Auditing Blockchain Panel”**  
**Moderator: *Jeremy Drane***, CCO, Libra  
*Robert Herz*, Executive in Residence, Columbia Business School  
*Rod Brennan*, Audit Technologies Director/Educator, Libra  
*Michael Cangemi*, President, Cangemi Co. LLC  
*Amanda Wilkie*, CIO, WithumSmith & Brown  
*Andrea Rozario*, PhD student, RBS
- 10:30 AM**      **BREAK & NETWORKING (2nd floor lounge)**
- 10:45 AM**      **Session IV:**  
**“Truth and Integrity in State Financial Reporting”**  
*Dr. Deniz Appelbaum*, Montclair State University  
*William Glasgall*, Director – State & Local Program, The Volcker Alliance
- 11:15 AM**      **Session V:**  
**“Developing A P2P Audit Analytics Program for Internal Audit”**  
*Dr. Hussein Issa*, Professor of Accounting, RBS
- 11:45 AM**      **Session VI:**  
**“A Design Science Analysis of Monitoring Systems: A Case Study”**  
*Dr. Dan O’Leary*, Professor of Accounting, University of Southern California
- 12:15 PM**      **LUNCH – (2nd Floor Student Lounge)**

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- 1:00 PM**      **Session VII:**  
**“Disclosure Ranking of Environmental Impacts of Brazilian Companies: Analysis Using Methods Multicriteria”**  
*Dra. Fabricia Silva Da Rosa*, Professor, Universidade Federal de Santa Catarina, Brazil
- 1:30 PM**      **Session VIII:**  
**“Automating the General Ledger Audit: A New Approach to Manual Entry Sampling “**  
*Dr. Yong Bum Kim*, Professor of Accounting, Ramapo College of NJ  
*Jamie Freiman*, PhD Student, RBS  
*Nilmen Arfeli Shirassu*, Itaú Unibanco
- 2:00 PM**      **Session IX:**  
**“Continuous Risk Monitoring and Assessment (CRMA): Framework for Risk based Continuous Assurance”**  
*Dr. Daehyun Moon*, Assistant Professor of Accounting, University of LaVerne
- 2:30PM**      **Session X:**  
**“Standardization Efforts on Blockchain/DTL and the Impacts of Auditors and Auditing”**  
*Eric Cohen*, Cohen Computing Consulting
- 2:50 PM**      **Session XI: CAR Lab Research**  
**“Detecting Material Misstatement: Ratio Analysis with Artificial Misstatement”**  
*Kexing Ding, Xuan Peng, Yunsen Wang*, PhD Students, RBS
- 3:10 PM**      **CLOSING COMMENTS & ADJOURNMENT**