

Inaugural Conference International Journal of Disclosure and Governance

SOX'S FIFTH BIRTHDAY: A CANDID, NO HOLDS BARRED DEBATE ON THE GOOD, THE BAD AND THE UNRESOLVED

The inaugural conference of the *International Journal of Disclosure and Governance* sponsored by the **Institute of Management Accountant's** Finance GRC Research Practice, the **Open Compliance and Ethics Group** (OCEG) and the **Prudential Business Ethics Center** will be held on September 21st 2007 at Rutgers Business School, Newark, NJ. The purpose of the conference is to commemorate the fifth anniversary of the enactment of the Sarbanes-Oxley Act in July 2002, an Act that most agree has been the most contentious—some would even say infamous— piece of business regulation in the history of securities regulation. The conference will provide a much needed public forum to candidly debate the impact and future of this legislation, bringing together users and preparers of financial information, executives, regulators, legislators and auditors.

A highlight of the conference will be the plenary address by one of the key players in the passage of the Sarbanes-Oxley Act and its implementation, **Mr. Lynn Turner**, former Chief Accountant of the Securities and Exchange Commission, and now well known financial commentator. Given the tremendous upheavals in financial markets over the last few years—and last few weeks and days—the opportunity to hear Lynn Turner's insights should not be missed.

Schedule

7:30-8:00 **Registration, Continental breakfast**

8:00-8:15 **Opening Comments:**

1. Dean Rosa Oppenheim, Rutgers Business School
2. Professor Michael Alles, Editor, International Journal of Disclosure and Governance

8:15-8:45 **Keynote Address**

Paul Sharman, President and CEO, IMA

8:45-9:45 **Panel 1: SOX 404: Auditor fees up, shareholder value down ... is**

that good for investors?

1. **Moderator:** Professor Don Warren, Rutgers Business School, PwC retired
2. Ms. Cynthia Fornelli, Executive Director, Center for Audit Quality
3. Paul Sharman, President and CEO, IMA

9:45-10:00 Coffee Break

10:00-11:00 Panel 2: Has SOX Improved Corporate Ethics?

1. **Moderator:** Professor Danielle Warren, Rutgers University, Business Ethics Group
2. Scott Mitchell, Founder, President and CEO, OCEG
3. Bruce Goldstein Esq. Saiber Schlesinger Satz & Goldstein LLC

11:00-12:00 Panel 3: Has SOX 404 Damaged U.S. Global Competitiveness?

1. **Moderator:** Mark Boyland Esq. General Counsel and VP Legal and Business Affairs, IMA
2. Michael J. Ryan, Jr. Center for Capital Markets Competitiveness, U.S. Chamber of Commerce
3. Donald Meiers Esq. Steptoe & Johnson LLP

12:00-1:30 Lunch, Esterly Lounge, Second floor Engelhard Hall

12:30-1:30 Plenary Address: SOX 404—Now More Than Ever? A Response to the Critics

Mr. Lynn Turner, Former Chief Accountant, Securities and Exchange Commission.

1:30-3:00 Panel 4: SOX 404—The Path Forward

1. **Moderator:** Jeffrey C. Thomson, VP Research and Applications Development, IMA
2. Mr. Lynn Turner, Former Chief Accountant, SEC
3. Dr. Miklos Vasarhelyi, Rutgers Business School
4. Donald Meiers Esq. Steptoe & Johnson LLP

3:00-3:30 Training and Responsibilities of Directors post SOX

Dr. Richard Leblanc, York University

3:30-4:00 An Outsider's Insider Perspective on Recent SOX 404 Rulemaking

Professor Parveen Gupta, Lehigh University and 2006-07 Academic Fellow, Securities and Exchange Commission

4:00 Wrap-up

Professor Michael Alles, Editor, *International Journal of Disclosure and Governance*

Registration: \$195 before September 7th, \$245 onsite. Paid registration includes a one year subscription to the *International Journal of Disclosure and Governance*. Registration information available on the conference website: <http://raw.rutgers.edu/IJDG>.

Date and Location: 8:00am to 4:00pm, 21st of September, 2007, Rutgers Business School, Bove Auditorium in Engelhard Hall, 190 University Avenue, Newark NJ 07102, USA.

CPE Credit: 8 hours of CPE credit will be granted.

For directions and other information, please see the conference website. Newark is located 10 miles from downtown Manhattan and is easily reached by train and car. The conference location is only a few minutes away from Newark International Airport.

The *International Journal of Disclosure and Governance* is a quarterly publication of Palgrave Macmillan, a division of Macmillan Publishers Ltd. The journal is devoted to the study and analysis of governance and disclosure in the Business and Non-for-Profit sectors worldwide.

The journal's aim is to examine, from both an academic and professional perspective, issues affecting governance and disclosure as they are impacted by accounting, law, ethics, finance, information systems, management and related fields. Given the objective of reaching a diverse audience of scholars and practitioners, the journal seeks papers that analyze important developments from the field that need to be brought to the attention of a wider audience or takes innovative academic research and makes it accessible to practitioners. The journal is unique in that it provides a forum for this diverse constituency to talk and learn from each other in way that does not happen with journals that are purely for academics and by academics.

Visit www.palgrave-journals.com/jdg for a link to further information on the *International Journal of Disclosure and Governance*. For more information, please contact the journal editor and conference chair, Professor Michael Alles, at Rutgers Business School, alles@business.rutgers.edu, (973) 353 5352.